

BEFORE THE PUBLIC SERVICE COMMISSION OF THE STATE OF MISSOURI

In the Matter of a Review of the Missouri Public)
Service Commission's Standard of Conduct Rules)
and Conflicts of Interest Policies)

Case No. AO-2008-0192

NOTICE REGARDING REVIEW MATERIALS FOR THE ROUNDTABLE DISCUSSION SCHEDULED FOR JANUARY 7, 2008

Issue Date: December 20, 2007

On December 13, 2007, the Chairman of the Missouri Public Service Commission, the Honorable Jeff Davis, opened a workshop docket and set a Roundtable Discussion to consider potential enhancement of the Commission's Standard of Conduct Rules and Conflicts of Interest policies. Included in that Notice were several attachments to assist interested persons, groups and entities wishing to participate in the Roundtable Discussion.

Those attachments included:

- (1) The Conflicts of Interest Statute, Section 386.200, RSMo 2000,
- (2) The Statute on Authorized Conferences and Communications, Section 386.210, RSMo Cum. Supp. 2006,
- (3) Chapter 4 of the Commission's Rules, 4 CSR 240-4.010 through .020 Concerning Standards of Conduct, and,
- (4) The Commission's Internal Policy, Section C-12 on Representation of Independence.

The provision of these documents was not in any way intended to be all inclusive of the subject matter that may be presented or discussed at the Roundtable. Rather, these

materials were provided to assist those persons, groups and entities that may not be familiar with legal research and provide materials that could form the core starting point of the discussions.

Participants are not strictly limited to the information in those documents and are welcomed and encouraged to review other materials that may be relevant to the Roundtable when preparing their comments, suggestions and presentations. There are other state statutory provisions with which Missouri Public Officials must comply that are also designed to prevent any actual or perceived conflicts of interest. Again, as an aid to the participants in this workshop docket, the Chairman is attaching some additional documents to this notice that may be of benefit to the participants of the Roundtable Discussion. Those materials include:

- (1) December 19, 2007, Motion for Proposed Rulemaking, Filed in Case No. AX-2008-0201, proposing changes for 4 CSR 240-4.020,
- (2) Supreme Court Rule 2, The Judicial Canons,
- (3) Section 36.155, RSMo 2000, Permitted Political Activities by State Employees,
- (4) Section 36.157, RSMo 2000, Prohibited Political Activities by State Employees,
- (5) Section 36.159, RSMo 2000, No Coercion into Political Activity,
- (6) Section 105.055, RSMo 2000, Employee Reporting of Agency Mismanagement or Violations,
- (7) Section 105.058, RSMo 2000, Officials Not to Prohibit Certain Communications,
- (8) Section 105.150, RSMo 2000, State Officers Not to Deal in State Stocks,
- (9) Section 105.452, RSMo 2000, Prohibited Acts by Public Officials,

- (10) Section 105.454, RSMo 2000, Additional Prohibited Acts of Public Officials,
- (11) Section 105.462, RSMo 2000, Prohibited Acts of Rulemakers,
- (12) Section 105.464, RSMo 2000, Prohibited Acts by Judicial or Quasi-Judicial Positions,
- (13) Section 105.467, RSMo 2000, Prohibitions on Discharge and Discrimination.

Again, these attachments may be of benefit to the participants when formulating their comments, suggestions and/or presentations for the Roundtable Discussion. These materials are only provided as an aid and do not stand for the proposition that any particular action related to these documents is being endorsed or suggested at this time. The Chairman looks forward to a complete review of the Commission's Standard of Conduct Rules and Conflicts of Interest policies.

Dated at Jefferson City, Missouri,
on this 20th day of December, 2007.
Stearley, Regulatory Law Judge